

Investigative Interviewing

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**“Investigative
Interviewing”**

**What does it
mean????**



Aims

- To discuss interview theory and sharpen our interview skills
- To understand the difference between “interviewing” and “interrogation”.
- To generate discussion about working practices and tactics in your agency/home country.
- To showcase UK investigative interviewing.
- To conduct an interview planning case study exercise.

Morning Syndicate Exercise

Group A

In your group, discuss interviews that you have either conducted or observed.

How would you identify an “effective interviewer”? What did they do? What did they say? What traits or skills did they demonstrate?

Present a list of key characteristics and explain why and how they support an effective interview.

Group B

In your group, discuss the use of language in interviews and how specific questions types can impact positively & negatively. Are they useful? risky? productive? inappropriate?

Present a list of question types and explain how they can be used in an interview (if at all!)



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Morning Syndicate Exercise

Group C

In your group, discuss the differences between “investigative interviewing” and “interrogation”.

Present a list of the differences and explain how these can impact upon your investigations.

Group D

In your group, discuss interviews that you have either conducted or observed.

Present a list of interviewing strategies and tactics and explain how and why they are used



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Communication

**Active
Listener**

Kinesics

**Patience &
Perseverance**

Respect

Flexibility

**Emotional
Intelligence**

**Key
Characteristics
of an effective
interviewer**

Credibility

Rapport

**Good
Attitude**

Observation

Professionalism

Proxemics

Objectivity

Questioning Types and Styles

OPEN

“Tell me..”,
“Explain...”
“Describe...”

CLOSED (Yes/No)

SPECIFIC CLOSED

“What”, “Who”,
“Where” etc

HYPOTHETICAL

FORCED CHOICE

“Was it A or
B?”

LEADING

“You paid him
the cash,
didn’t you?”

MARATHON

MULTIPLE CHOICE

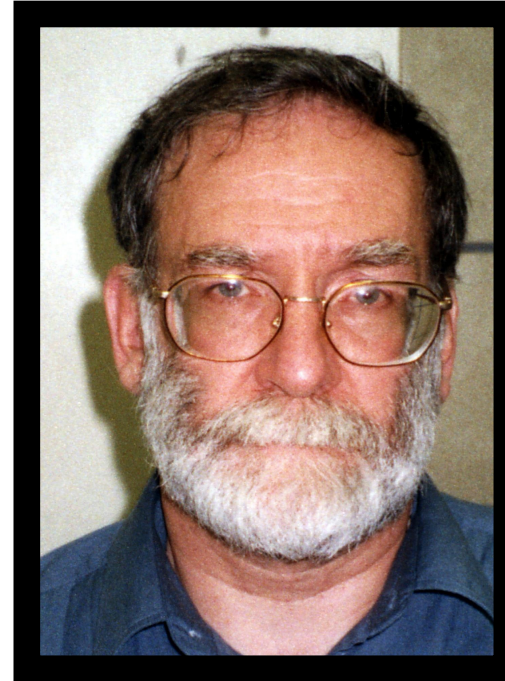
“Where did he
come from, what
did he look like,
where did he
go?”

PARROTING

STATEMENTS

INTERVIEWER : “Can I put it directly to you doctor that you forged, you have produced the letters, and this will on your typewriter in the hope of benefitting from Mrs. Grundy’s estate?”

SHIPMAN: “Is that a question or a statement?”.



INTERVIEWING

INTERROGATION

Approach

Non-Accusatory

Accusatory

Objective

To gather accurate and reliable information

To obtain a confession from a suspect believed to be guilty

Atmosphere

Encourages rapport building and active listening

Often uses psychological pressure and manipulation

Style

Open-ended questions, allowing interviewees to speak freely

Controlled, leading and closed-ended questions

Ethics

Focus on Human Rights, transparency & ethical behaviour

Risk of Human Rights violations and false confessions

Interviewer

Facilitator, Listener, Rapport-builder.

Dominant, Controlling, Manipulative.

Planned Tactics & Strategies

Pre-
interview

Multiple
Persons?

Ongoing
Action?

Admissions

No comment

Disclosure of
evidence

Interviewer
Dynamics

Topics



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Break Time



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Interviewing – UK Background Legislation

Human Rights Act 1988

Article 3 – Prohibition of Torture

Article 5 – The Right to Liberty & Security

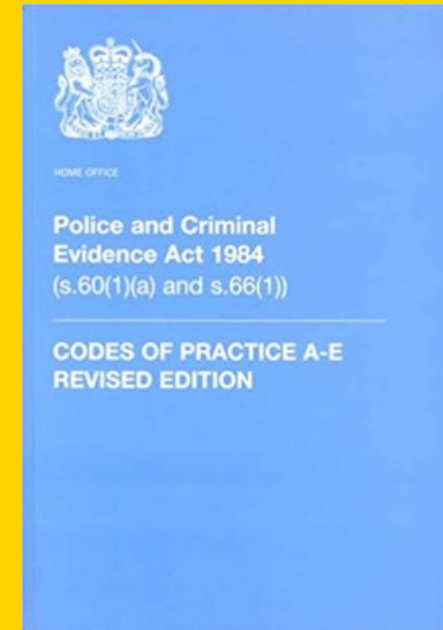
Article 6 – The Right to a Fair Trial.

Police & Criminal Evidence Act (and Codes of Practice) 1984

This legislation gives police and authorised HMRC officers various powers including search, entry, seizure, arrest and treatment of suspects in custody and during an interview.



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Types of Interviewing

HMRC investigators working in the criminal justice field conduct interviews including (but not limited to)

Offence Interviews

- Post Arrest Interview Under Caution
- Voluntary Interviews

Civil Interviews

- Proceeds of Crime Act (POCA) Interview
- Excise Interviews (Intra-EU Commerciality)



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The “PEACE” Model

P - Planning and Preparation

E - Engage & Explain

A - Account , Clarification & Challenge

C - Closure

E - Evaluation



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Give



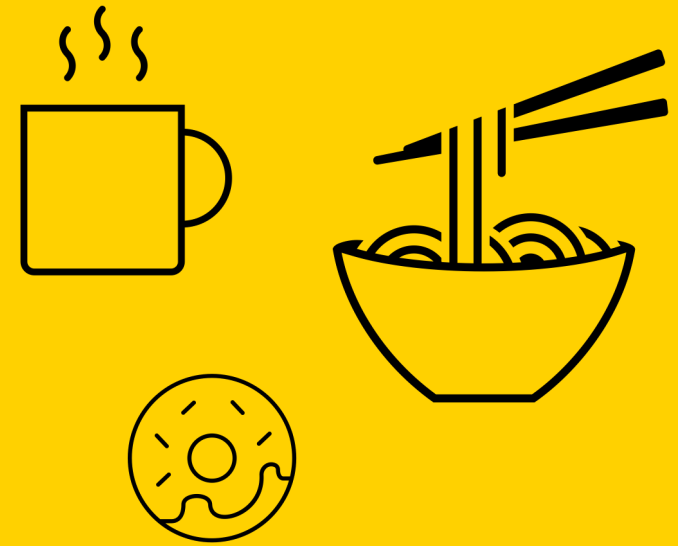
a chance!



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Lunch Time



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OPERATION CRUMBLE

INTERVIEW OPERATIONAL BRIEFING

SENSITIVE



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OPERATION CRUMBLE **SITUATION**

- Op Crumble is a Financial Investigation concerning £1,818,568.69 which is currently frozen in 8 x UK personal bank accounts.
- The frozen funds are suspected of representing the proceeds of crime, which have been obtained and laundered by an Organised Crime Group concerned in Payroll Company Fraud and Money Laundering.
- Each interviewing team will be required to work together to plan an interview. Teams will later present their plans to the class.



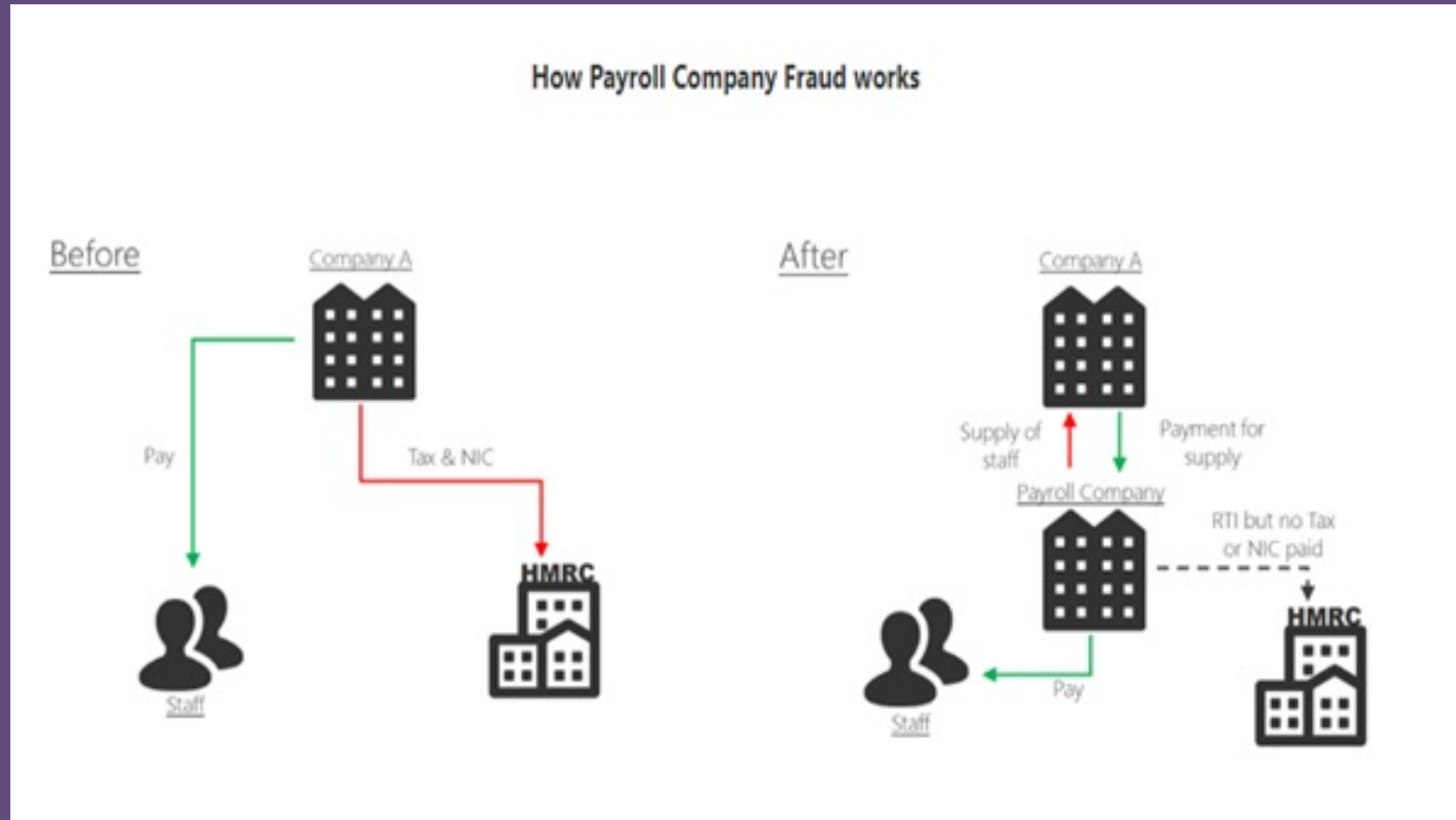
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OPERATION CRUMBLE – ACCOUNT FREEZING ORDERS

Account Holder Name	Financial Institution	Account No	Sum of Frozen Funds
Mr Thomas WINDSOR	Barclays Bank	15081981	£27,066.67
Mr Thomas WINDSOR	Revolut	00367411	£187,470.98
Ms Olivia DONNELLY	National Westminster Bank	26072014	£152,000.00
Ms Olivia DONNELLY	HSBC	02071980	£552,012.50
Mrs Tammy COOK	Barclays Bank	23236987	£214,654.79
Mrs Tammy COOK	Barclays Bank	14725836	£520,359.56
Mr David AKIMBOLA	Monzo Bank	32165489	£90,004.19
Mr David AKIMBOLA	Chase Bank	96385274	£75,000.00

OPERATION CRUMBLE

CASE BACKGROUND



OPERATION CRUMBLE EXECUTION

- Each Interview Team will be given access to case material and will be required to plan for an interview of the following respondents.

Interview Group	Respondent
Group A	Thomas Gordon WINDSOR
Group B	Olivia Marie DONNELLY
Group C	Tammy COOK
Group D	David AKIMBOLA

OPERATION CRUMBLE - GROUP A

Thomas Gordon WINDSOR

- **DOB** – 02/07/1967
- **Nationality** - British
- **Address** – Villa Jasmine, Efes Sokak,
Turkish Republic of Northern Cyprus (TRNC).
- **Occupation** – Company Director of TW
Services Ltd, Red Arrow Payroll Ltd, Enhance
Payroll Ltd & Employ Paid Ltd.



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OPERATION CRUMBLE - GROUP B

Olivia Marie DONNELLY

- **DOB** – 29/01/1965
- **Nationality** - British
- **Address** – 35 Winchester Street,
Birmingham, B3 ZXC.
- **Occupation** – Company Director of OMD
Paid Ltd & OMD Employment (UK) Ltd.



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OPERATION CRUMBLE - GROUP C

Tammy COOK

- **DOB** – 15/08/1981
- **Nationality** - British
- **Address** – 27 Rochester Rd, Coventry, CV3 RTY.
- **Occupation** – Unemployed



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OPERATION CRUMBLE - GROUP D

David AKIMBOLA

- **DOB** – 13/02/1969
- **Nationality** - British
- **Address** – 18 Gants Hill, London, IG11 4NM.
- **Occupation** – Accountant and company director of Midas Pay Ltd, Midas Accountancy Services Ltd, Midas Services Ltd and Midas Air Ltd.



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OPERATION CRUMBLE **OBJECTIVES**

- The objective of the Frozen Funds investigation is to determine if the funds represent “recoverable property” and/or that they are intended for use in unlawful conduct.
 - The purpose of the interview is to obtain an account from the respondent regarding the source of the frozen funds and their intended use.
- The evidence that you obtain from the interview will be used to progress the frozen funds investigations and to consider other options for investigation and asset recovery opportunities.



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Op Crumble – Interview Planning Exercise

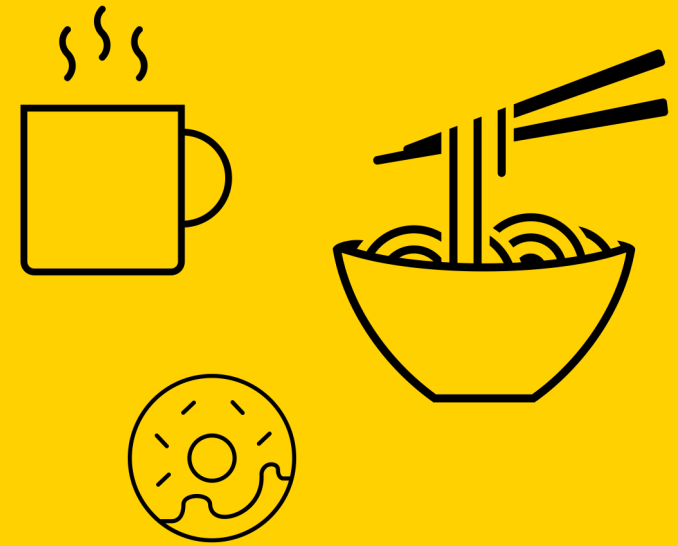
**In your teams, construct a plan for the interview of your suspect.
Present your plans to the class (Presentation should be approx 8 mins)
Consider the following....**

- What are evidence/intelligence do you have?
- Is there any gaps or weaknesses which can be filled through questioning?
- What topics/lines of questioning do you want to pursue?
- What key evidence will you be using to challenge?
- Will you be using any specific tactics?
- Have you identified any other assets? If so, how will you look to recover them?
- Can you identify any obstacles?
- Would you recommend any future investigation opportunities?



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Break Time



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Investigation Teams Debrief



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LIVE CASE STUDY - ACTION

AFO #	Respondent	Sum of funds	Current Status
1	INDIVIDUAL A	£20,000	FORFEITED
2	INDIVIDUAL A	£57,918	FROZEN
3	INDIVIDUAL B	£214,654	FROZEN
4	INDIVIDUAL B	£520,359	FROZEN
5	INDIVIDUAL B	£3,676	FROZEN
6	INDIVIDUAL B	£1,051	FROZEN
7	INDIVIDUAL B	£1,005,450	FROZEN
8	INDIVIDUAL C	£72,426	FROZEN
9	INDIVIDUAL C	£1,595	FROZEN
10	INDIVIDUAL D	£42,228	FROZEN
11	INDIVIDUAL E	£90,004	FROZEN
12	INDIVIDUAL E	£1,592	FROZEN

AFO #	Respondent	Sum of funds	Current Status
13	INDIVIDUAL E	£75,000	FROZEN
14	INDIVIDUAL F	£250,229	FROZEN
15	INDIVIDUAL F	£8,528	FROZEN
16	INDIVIDUAL F	£32,322	FROZEN
17	INDIVIDUAL G	£552,012	FROZEN
18	INDIVIDUAL G	£152,000	FROZEN
19	INDIVIDUAL G	£243,500	FROZEN
20	INDIVIDUAL H	£449,769	FROZEN
21	INDIVIDUAL H	£406,562	FROZEN
22	INDIVIDUAL I	£5,922,562	FROZEN
23	COMPANY J	£49,000	FROZEN
24	COMPANY K	£127,246	FROZEN
25	COMPANY L	£705,835	FROZEN
TOTAL VALUE OF FROZEN FUNDS =			£11,005,518

LIVE CASE STUDY - ACTION

RESPONDENT	ACTION	FUNDS
COMPANY M	WINDING UP NOTICE SERVED	£400,000 PAID TO HMRC
COMPANY N	VAT ASSESSMENT ISSUED	£11,577,897.27
COMPANY J	VAT ASSESSMENT ISSUED	£9,494,359.83

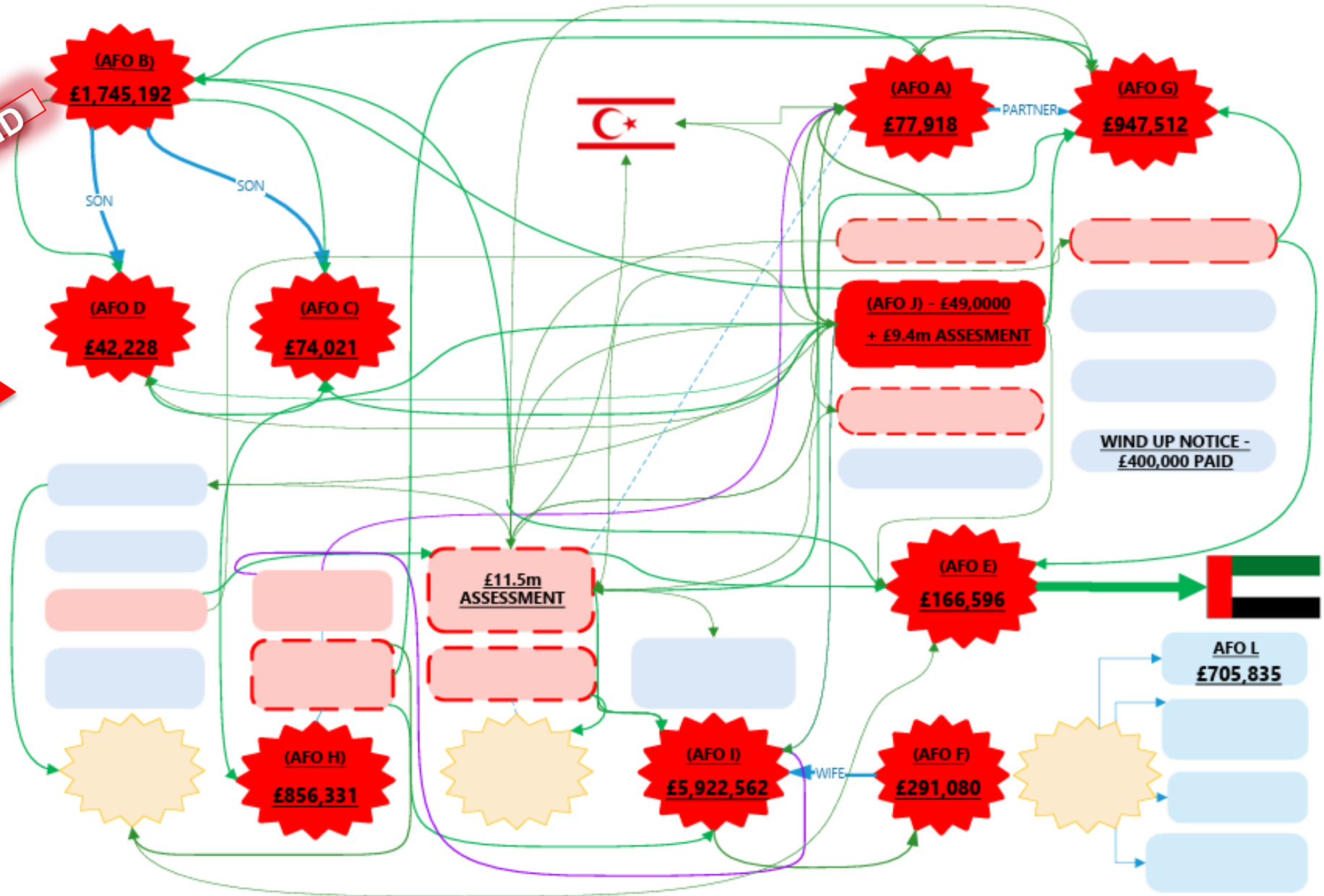
OUTSTANDING
CONFISCATION
ORDER FOR
£1M

LIQUIDATION PROCEEDINGS
RELATING TO DEBT OF
£10.4M

LINKED PROJECT
TO
TARGET/PENALISE
FRAUDULENT
PAYROLL CO'S

HUSBAND

**CONVICTED
MONEY
LAUDNERER**





WHAT NOW?



Any Questions

