

MONA KLEIN

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EXPERIENCE – SELF-EMPLOYMENT

Mona Klein Consulting | Course Leader | 2022 to Present

- As Course Leader for the Organization for Economic Cooperation and Development (OECD), responsible for all deliverables in the preparation of course delivery, in the successful delivery, and post-program administration and mission report for an 8-day program in the area of ***Anti-Money Laundering and Challenges surrounding Crypto Assets in tax crime investigations***, held virtually in Buenos Aires, Argentina. Currently preparing for two 5-day Specialty Course onsite in Buenos Aires, Argentina in the areas of ***Anti-Money Laundering and Challenges surrounding Crypto Assets in tax crime investigations***, and ***Asset Forfeiture***.

EXPERIENCE - EMPLOYMENT

Canada Revenue Agency | Technical Advisor, Criminal Investigations Division | August 2023 to current

- Coach, mentor and assist investigators, team leaders, case managers and Assistant Director in case-related decision-making including: provide technical guidance, review of judicial orders, provide advice on sensitive and complex issues at all stages of criminal cases
- Identify training needs and communicate them to Senior Management and the Prosecution Service
- Create, develop and deliver training product as required by Senior Management
- Provide factual input and clarification of technical issues during technical meetings, sectional and / or divisional meetings in regards to recent court decisions, legislation changes, policies and procedures
- Liaise with technical advisors from other offices and headquarters in order to provide a uniform and consistent approach nationally
- Lead or participate in various working groups and special projects including: International Trade Based Money Laundering Working Group, Crypto Asset Working Group and NUIX (disclosure) working group

Canada Revenue Agency | Acting Assistant Director, Criminal Investigations Division | Jun 2022 to August 2023

- Responsible for indirect reports totaling between 70 and 75, including investigators, senior investigators and major case teams responsible for the most complex of tax crime investigations and forensics analysts

- Responsible for a budget totaling approximately \$8.0M
- Responsible for the creation and maintenance of significant and important partnerships for the direction of joint force investigations.

Canada Revenue Agency | Major Case Manager, Criminal Investigations Division | Aug 2018 to Jun 2022

- Direct and manage a criminal investigation team in the most complex criminal investigations involving international issues and multiple complex partner relationships, locally, nationally and internationally. Preparation of Operational Plans for Senior Management and Headquarters approval. Responsible for the management of financial resources and security issues. Promote effective communication with partners and stakeholders to build and maintain relationships.

Canada Revenue Agency | Team Leader, Criminal Investigations Division | Oct 2014 to Aug 2018

- Direct and manage a criminal investigation team, including establishing goals and negotiating financial and human resources to meet deadlines (judicial or otherwise). Develop and maintain partnerships with internal and external stakeholders. Review legal documents and advise. Advise and assist team members, assist in negotiations with PPSC (Crown) and RCMP (or other partners). Provide advice senior management and Headquarters.

Canada Revenue Agency | Technical Advisor, Criminal Investigations Division | Aug 2014 to Oct 2014

- Responsible for providing direction and guidance to investigators, Team Leaders and Manager in relation to tax evasion investigations.

Canada Revenue Agency | Training Officer, Criminal Investigations Directorate | Oct 2011 to Aug 2014

- Responsible for delivery and coordination of training for French investigators;
- Delivery of training, webinar and presentations;
- Liaison with Criminal Investigations – IRS in relation to their training program for implementation opportunities in Canada
- Development and overhaul of the Criminal Investigations training program and priorities in light of Enforcement transformation. Visit and audit Internal Revenue Service (IRS) training facilities in the United States in consideration for development of the CRA training and suggest implementation to CRA training program in accordance with IRS successes.

Canada Revenue Agency | Technical Advisor, Criminal Investigations Directorate | Jun 2011 to Sept 2011

- Reviewed Information to Obtain Search Warrants and Production Orders prepared by investigators situated in various offices nationally, provided feedback, guidance and recommendation to improve the quality of court documents.

Canada Revenue Agency | Regional Intelligence Officer, Strategic Intelligence Section for the Ontario Region | Criminal Investigations Directorate | Sept 2010 to Jun 2011

- Participated and made recommendations in Strategic Intelligence Assessment Reports of Outlaw Motorcycle Gangs, Tax Protestor movement and the use of White Label ATMs for the purpose of money laundering. The purpose of these reports were to quantify and address the tax gap for Canada and make recommendations to address the tax gap;
- Played an instrumental role in the development of a selection methodology for tactical files in relation to a tax protestor project;
- Represented the Ontario Region with the Enforcement Division and built external relations with policing bodies and other government agencies involved in law-enforcement activities;
- Communicated progress of the Strategic Intelligence Team with the Ontario Region Champion and communicated regional expectations to Enforcement Division;
- Prepared and made presentations to Enforcement and Audit Divisions in relation to Tax Protestor activities and Money Laundering.

Canada Revenue Agency | Criminal Investigator | Criminal Investigations Division | 2005 to 2012

- Conducted criminal investigations of allegations of tax offences;
- Successfully obtained guilty pleas in referrals to PPSC;
- Prepared for court, including Exhibit registers, witness preparation, obtaining subpoenas, among other activities;
- Testified in Court and obtained a Letter of Commendation from PPSC Lawyer for testimony;
- Planned, organized and conducted search activities, including monitoring activities;
- Numerous other investigative activities.

Canada Revenue Agency | Civil Auditor | Jan 2000 to Jul 2005

- Business Audit and Underground Economy Team;
- Developed significant experience in Indirect Verification of Income to determine taxpayers' taxable income and GST payable (Net worth audits, projections and bank deposit analysis);
- Responsible for file selection for Underground Economy Sector.

EDUCATION

Jul 2018 - Certified Forensics Examiner (CFE) accreditation/designation

Aug 2000 - CGA Program of Professional Studies (now CPA-CGA)

Jun 1994 - Accounting and Finance Diploma from Confederation College of Applied Arts & Technology, Thunder Bay, ON, Canada

Jun 1993 – Business Accounting Diploma from Confederation College of Applied Arts & Technology, Thunder Bay, ON, Canada

Jun 1991 - High school Diploma, Cité des Jeunes, Kapuskasing, ON, Canada

ACADEMIC ACTIVITIES, TRAINING COURSES AND SEMINAR ATTENDED

Feb/Mar 2023 - Spin Cycle Money Laundering Conference (hybrid), provided by the Financial Crime Coordination Centre (FC3)

Sept 2022 - Financial Investigative Training including Money Laundering, by Internal Revenue Service (IRS)

Mar 2022 – NUIX eDiscovery Software training provided by NUIX

Mar 2022 – Search Warrant Drafting, provided by Osgoode Hall Law School

Jan 2021 – International Policies to Fight Money Laundering and Terrorism Financing training (one day virtual), provided by the Financial Action Task Force (FATF)

Dec 2021 – Spin Cycle Money Laundering Conference (5 days virtual), provided by the Financial Crime Coordination Centre (FC3)

Sept 2021 – CPA The One Conference (4 days virtual)

May 2021 – Money Laundering Conference (1 day virtual), held by Osgoode Hall Law School

Jan 2020 – Investigative and PEACE model Interviewing, training (two days), provided by Investigations Training

Mar 2018 - Powerful Negotiation Skills - A workshop to improve negotiating skills to develop techniques and strategies in negotiations, provided by PMC Training

Mar 2016 – Team Leader training in tax criminal investigations (five days), provided by CRA

Apr 2016 – Courtroom Testimony: Practical Skills Workshop (1/2 day virtual), provided by Osgoode Hall Law School

Mar 2015 – Drafting Information to Obtain training (3 days), provided by Osgoode Hall Law School

AWARDS, SPEAKING AND TRAINING ENGAGEMENTS AND OTHER ACCOMPLISHMENTS

Current – involved in the development of a Pilot 5-day program for the OECD in Major Case Management

Feb 2023 – Expert Panelist for a combined panel by CRA, RCMP and FINTRAC on the subject of AML Trends at the second annual Spin Cycle Money Laundering Conference

2019 to current - Expert Instructor representing Canada Revenue Agency for the Organization for Economic Cooperation and Development (OECD) in the area of tax crime investigations (onsite and virtual) in Italy, Africa, and Argentina

Dec 2021 – Expert Panelist for a combined panel by CRA, CBSA and FINTRAC on the subject of Information Sharing at the first annual Spin Cycle Money Laundering Conference

Nov 2021 - Recipient of a CRA Ontario Region Award of Excellence in the area of Partnerships for the year 2019, for partnerships created internationally through the OECD, resulting in the sharing of best practices with partners

Oct 2019 - Expert Panelist as a representative of Canada Revenue Agency at the Canadian Regulatory forum (CRF) on the subject of Major Case Management. The event was attended by 75 regulatory agencies

Dec 2014 – Presentation to Criminal Investigations Division in Montreal pertaining to Money Laundering and Asset Forfeiture

Oct 2014 – Recipient of a Canada Revenue Agency CBP Award of Excellence in the area of Teamwork and Cooperation for our work in the transformation of the Criminal Investigations Division training program to a seven-week intensive course

From 2012 to current, involvement in numerous training and speaking engagements to the Criminal Investigations Division, in the areas of: Execution of Search Warrants, Testifying in Court, Simultaneous International Investigations, Major Case Management, Interviewing, Note-taking, among others

Fluent in both English and French languages